



## ORI Mission:

- Identifying compliance risks in our research practices and communicating those risks to the research community;
- Partnering with the research community in innovative and effective ways to minimize and manage research risks;
- Educating the research community with respect to appropriate business practices related to the conduct of research at Northwestern University; and
- Monitoring and correcting non-compliance in accordance with University and federal guidelines.

## ORI Staff

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## Northwestern welcomes Nigerian research professionals

Northwestern is excited to welcome nine principal investigators and four research administrators from Nigeria who will be visiting the United States for five weeks to get a better understanding of research administration here at Northwestern and nationally. They will be coming from the University of Ibadan where Northwestern has been participating in the Medical Education Partnership Initiative in Nigeria (MEPIN).

This program is a five year training and education grant funded by the Fogarty International Center at the NIH. The partnership is facilitated by Dr. Robert Murphy who is the principal investigator on the grant and is also the director of the Center for Global Health at NU. According to deputy director of the Center for Global Health, Daniel Young, historically, the University of Ibadan does not have formal training in research administration, though they do conduct a large amount of research. Potential compliance risks arise when a PI must administer their own awards due to lack of trained research administrative professionals. Daniel says many of the Ibadan faculty have asked for comprehensive research administration training, and believes with the proper training the school will be able to expand their research capacity.

Now, thanks to MEPIN, those visiting from the University of Ibadan will receive hands on training with many NU research administrators.



## Did You Know?

Did you know that members from the Energy/Commerce Committee in the U.S. House sent letters to the FDA and NIH over concerns regarding failure to post clinical trial results on [clinicaltrials.gov](http://www.clinicaltrials.gov/)? (<http://www.bmj.com/content/344/bmj.d7373>) and

<http://www.bmj.com/content/344/bmj.d7373>)

If you have questions about [clinicaltrials.gov](http://www.clinicaltrials.gov/) contact Barbara Ferry at [b-ferry@northwestern.edu](mailto:b-ferry@northwestern.edu)

Did you know that the Office for Research has approximately 560 faculty, staff and research faculty in OR administrative units and University research centers?

Did you know that you can use Northwestern Scholars to search faculty profiles, expertise, publications, grant data, collaborations and networks across all disciplines at [Northwestern University](http://northwesternuniversity.edu/)? Visit the Northwestern Scholars page at (<http://scholars.northwestern.edu/>).

Did you know that research at Northwestern has an annual budget of over \$1.6 billion and more than \$500 million in sponsored research?



## Introducing Yasmeen Khan...

### 1) What is your title at Northwestern?

Administrative Assistant III

### 2) What does that mean?

I assist ORI staff members and help the department run smoothly.

### 3) What is one thing you want people to know about what you do here?

I enjoy event planning and connecting people at Northwestern.

### 4) How long have you been at Northwestern?

I have been at Northwestern since August 2012.

### 5) What did you do before you came to NU?

I was an executive assistant at the University of Chicago.

### 6) Where is your home town?

Tulsa, Oklahoma!

### 7) What is your favorite flavor of ice cream?

Hot Fudge Sundae

### 8) What is your favorite vacation spot?

Aruba

### 9) What is your favorite yearly Chicago event?

Pitchfork music festival

## ACCR Clinical Research Mini-Symposium

The Northwestern Advisory Council for Clinical Research (ACCR) is hosting the fourth annual clinical research mini-symposium Friday, June 14, 2013 from 8:00 a.m. to 12:00 p.m., titled "Clinical Research: Understanding the Big Picture and Your Role." Attendees will gain knowledge and enhance their understanding of the role of clinical research staff with respect to some of the "big picture" issues in clinical and translational research, including preclinical activities, study design, statistical analysis, intellectual property, and data integrity. This no-cost program is available to all staff, administrators, and faculty involved with clinical research at Northwestern University. It will be held in the Hughes Auditorium of the Robert H. Lurie Medical Research Center. To register: Email Patricia Folliard at [accr@northwestern.edu](mailto:accr@northwestern.edu) or visit <http://www.feinberg.northwestern.edu/research/services/accr/mini-symposium.html>.

## Responsible Conduct of Research (RCR) Requirements – What you need to know

Responsible Conduct of Research (RCR) training, instruction on the ethical conduct of research and professional mores, is believed to be a critical component of a researcher's education. If you are involved in research or research administration, you may be aware that NSF and NIH require RCR training for certain individuals on certain awards. What does this mean for you? If the federal requirements for RCR training are not satisfied in your proposal, it could mean that your grant application will be delayed or considered incomplete. You may also need to assist your school or department in ensuring that the appropriate individuals paid off of applicable awards take your school's required RCR training courses. Below is a brief summary of the NSF and NIH requirements for RCR training that can assist you in navigating your responsibilities.

	<b>National Science Foundation (NSF)</b>	<b>National Institutes of Health (NIH)</b>
Federal RCR training requirement	<a href="#">Section 7009</a> of the America COMPETES Act (42 U.S.C. 18620-1). For more information see <a href="#">NSF's webpage</a> .	Update for the Requirement for Instruction in the Responsible Conduct of Research ( <a href="#">NOT-OD-10-019</a> ).
Awards involved	All NSF awards submitted or due on or after January 4, 2010.	NIH training awards, career development awards (individual or institutional), research education grants and dissertation research grants. All new and renewal applications submitted on or after January 25, 2010. Also, all continuation (Type 5) applications with deadlines on or after January 1, 2011.
Who must receive training?	All undergraduate students, graduate students and postdoctoral researchers performing research on the applicable awards.	All trainees, fellows, participants and scholars performing research on the applicable awards.
What type of training is required?	Each institution is permitted to determine the content and delivery of the training. NU has decided that each school is responsible for determining this. Contact your department chair, research dean or ORI to learn what courses satisfy the requirement.	NIH suggests both online and in-person instruction. Specific topics are not required, but NIH advises incorporating certain <a href="#">topics</a> such as mentor/mentee responsibilities, responsible authorship and the scientist as a responsible member of society.
How often must the individuals take the RCR training?	Each institution is permitted to determine the frequency of the training. Contact your department chair, research dean or ORI to determine how often RCR training is required based on your school's policy.	NIH suggests that RCR training recur throughout a scientist's career at undergraduate, post-baccalaureate, predoctoral, postdoctoral and faculty levels, and no less than every 4 years.
When must the RCR training be completed?	While the individual is still at the University. A plan for training must be identified in the proposal, but need not be completed prior to beginning work on the award. Note that the individual must participate in RCR training even if s/he has completed the research on the grant.	Throughout the life of the grant. A plan for training must be identified in the proposal. Renewal applications must discuss the instruction over the past project period and plans for future training.





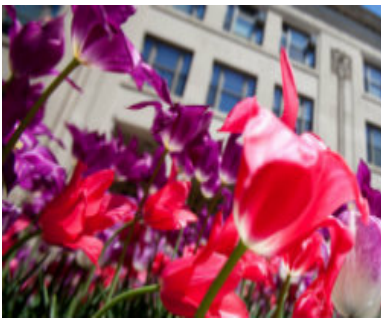
EthicsPoint is a third party vendor that allows you to confidentially raise ethical concerns, ask questions, and/or report activities that may involve misconduct or violations of Northwestern University policy. For more information visit the website at:

[www.northwestern.edu/ethics](http://www.northwestern.edu/ethics)

## How to be compliant with the RCR training regulations

If you are involved in administering or performing research on a NSF or NIH award, contact your department chair or research dean about your responsibilities. You may need to take an RCR training course or be actively involved in tracking and ensuring that the appropriate individuals take the required RCR course. Keep in mind that other federal agencies may also have specific RCR training requirements that you may need to satisfy. Northwestern University offers numerous [courses](#) on the Responsible Conduct of Research and recommends that everyone involved in research—students, faculty and staff—engage in RCR education to increase their knowledge and practice of ethical research.

If you have questions about RCR training compliance, please feel free to [contact ORI](#).



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*(continued from page 1)*

They will attend a variety of trainings such as ORI's Research Administration Seminar, the ACCR's clinical research mini-symposium and the national Society of Research Administrators (SRA) meeting in Louisville, KY. The visitors will also shadow and speak with NU research administrative staff in various departments conducting sponsored research as well as central research administrative staff. Concluding the visit, they will prepare a presentation on NU's research administration to share with colleagues in Nigeria.

Paula Carney, Research Associate Professor, NUCATS, has travelled extensively to Nigeria working as a resource consultant. She has modified the NUCATS RCR curriculum to make it is culturally relevant to the research in Nigeria. Paula described a recurring problem was being able to complete a presentation during intermittent electrical outages, a common event in Nigeria. While some areas are still working on basic needs, Paula has been providing the groundwork for research administration through sharing of materials and practices from Northwestern.

As NU continues to become more involved globally by partnering with other research institutions around the world, it's clear that we need to establish a two-way learning highway. As Paula said it best, "Health is global and health investment should be global." If you see any of our visitors in the next few months, please be sure to introduce yourself and give them a Northwestern welcome.